



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,721	08/24/2001	Percy LeBaron Spencer II	62601-011

McDermott, Will & Emery
2700 Sand Hill Road
Menlo Park, CA 94025



CONFIRMATION NO. 2767

FORMALITIES LETTER



OC00000006799928

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

12/03/2001 SDENB01 00000090 500385 09938721

01 FEE\$ 65.00 CH

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

11-30-01

Receipt



Express Mail Label No.: EL 758220375 US

Docket No. 62601-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant: Percy L. Spencer et al.

Application No: 09/938,721

Title: **SYSTEM AND METHOD FOR GROUP VIDEO TELECONFERENCING WITH VARIABLE BANDWIDTH**

Filed: August 24, 2001

Hon. Commissioner for Patents and Trademarks
U.S. Patent and Trademark Office
P.O.Box 2327
Arlington, VA 22202

Dear Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

On or about October 10, 2001 our offices received the Filing Receipt for the above-referenced patent application. We are requesting correction of the Filing Receipt as it omitted one of the applicants and the residence of another.

Please add "Max E. Montgomery, Santa Cruz, CA", and amend Jeremy Egenberger's residence to show that Mr. Egenberger's residence is "Modesto, CA".

Applicant requests this change be made and a new Filing Receipt be issued evidencing the correction requested herein.

Respectfully Submitted,

JAMES E. EAKIN

Reg. No. 27,874

Date: 11-27-01



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/938,721	08/24/2001	2151	355	62601-011	6	2	2

CONFIRMATION NO. 2767

FILING RECEIPT



OC000000006799926

McDermott, Will & Emery
2700 Sand Hill Road
Menlo Park, CA 94025



Date Mailed: 09/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Percy LeBaron Spencer II, Santa Cruz, CA;
Petrus Hubertus Weyzen, Santa Cruz, CA;
Jeremy Egenberger, ~~Residence Not Provided~~; MODESTO, CA
Don Fossgreen, Scotts Valley, CA;
MAX E. MONTGOMERY, SANTA CRUZ, CA

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

System and method for group video teleconferencing with variable bandwidth

Preliminary Class

709

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
62601-011

In Re Application Of **Perry Spencer et al.**

Serial No.

09/938,721

Filing Date

08/24/2001

Examiner

Group Art Unit

Invention: **SYSTEM AND METHOD FOR GROUP VIDEO TELECONFERENCING WITH VARIABLE
BANDWIDTH**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

09/27/01

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

Copy of Filing Receipt showing corrections; request to correct Filing Receipt; return postcard; Express Mail Label No. EL758220375US.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
62601-011

In Re Application Of: Percy L. Spencer et al.

Serial No
09/938,721



Filing Date
08/24/2001

Examiner

Group Art Unit

Invention: **SYSTEM AND METHOD FOR GROUP VIDEO TELECONFERENCING WITH VARIABLE BANDWIDTH**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

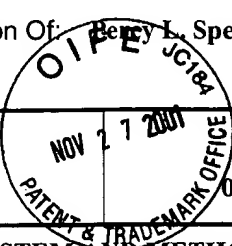
Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
62601-011

In Re Application Of: **Benny L. Spencer et al.**

Serial No
09/938,721



Filing Date
08/24/2001

Examiner

Group Art Unit

Invention: **SYSTEM AND METHOD FOR GROUP VIDEO TELECONFERENCING WITH VARIABLE
BANDWIDTH**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$65.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0385**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.

Signature

Dated: **11-27-01**

**James E. Eakin
Reg. No.: 27,874
McDermott, Will & Emery
2700 Sand Hill Road,
Menlo Park, CA 94025**

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: